PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork and 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO **Application Number** 09/888,734 Filing Date INFORMATION DISCLOSURE June 25, 2001 First Named Inventor Bruce Joseph Roser STATEMENT BY APPLICANT **Group Art Unit** 1651 (use as many sheets as necessary) **Examiner Name Prats** Sheet 1 of **Attorney Docket Number** GJE-6089D1

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of	Pages Columns Lines Where		
		Number	Kind Code ² (if known)	of Cited Document	Cited Document MM-DD-YYYY	Relevant Passages or Relevant Figures Appear		
PUL	U1	4,891,319	``	Roser	01/02/1990	All		
KU .	U2	5,364,756		Livesey et al.	11/15/1994	All		
	U3							
	U4							
	U5							
	U6		, , , , ,					
	U7							
	U8			-				
	U9							
	U10							
	U11							
	U12							
	U13							
	U14				- · · · · · · · · · · · · · · ·			

					EIGN	PATENT DOCMENT	S		
Examiner Initials*	Cite No. ¹			Name of Patentee or Applicant of Cited Document		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ ⁶	
Jer	F1		0 475 409		A2	EPA	03/18/1992	All	\Box
321	F2		WO 95/07713			PCT	03/23/1995	All	\Box
951	F3		0 314 095		A1	EPA	05/03/1989	All	\Box
per	F4		WO 95/01804			PCT	01/19/1995	All	+
Sin	F5		WO 87/00196			PCT	01/15/1987	All	1
	F6								\Box
	F7								\Box
	F8								+
	F9				**				$\dagger \dashv$
	F10			$\neg \neg$					\vdash

[Examiner		Date	
Signature	$1 \langle 0 \ V \ M \ M \ M \rangle$		2 10 02
Olgriature	11/1/100	_ Considered	3-17-02

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign () inside this box

PTO/SB/08B (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

2

2

Sheet

Application Number 09/888,734 Filing Date June 25, 2001 First Named Inventor Bruce Joseph Roser **Group Art Unit** 1651 **Examiner Name Prats Attorney Docket Number** GJE-6089D1

		NON PATENT LITERATURE DOCUMENTS	නු	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	<u> </u>	-2
	R1	ROSER, B. et al., "Trehalose Drying: A Novel Replacement for Freeze-Drying," BioPharm (1991) 4(8): 47-53.		7
OP.	R2	CLELAND, J.L. et al. (ed.), "Design and Development Strategies," Chapter 1 in Formulation and Delivery of Proteins and Peptides, American Chemical Society Symposium Series (1994) 567:1-19.		
0	R3			
	R4			
	R5		L	
	R6			
	R7			_
	R8			
	R9			
	R10			
	R11			
	R12			
	R13	·		

Examiner Date Signature Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.